

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

<b>Utility Name:</b> California Water Service Company	<b>Date Mailed to Service List:</b> 10/31/18
<b>District:</b> All districts except for Grand Oaks	
<b>CPUC Utility #:</b> U-60-W	<b>Protest Deadline (20<sup>th</sup> Day):</b> 11/20/18
<b>Advice Letter #:</b> 2318	<b>Review Deadline (30<sup>th</sup> Day):</b> 11/30/18
<b>Tier:</b> <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/> 3 <input checked="" type="checkbox"/> Compliance	<b>Requested Effective Date:</b> 1/1/19
<b>Authorization:</b> D.16-12-042	
<b>Description:</b> RSF Surcharge Recalculation for 2019	<b>Rate Impact:</b> 0.384% RSF Surcharge

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

<b>Utility Contact:</b> Todd Pray	<b>Utility Contact:</b> Natalie Wales
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 <b>DWA Contact:</b> Tariff Unit	
<b>Phone:</b> (415) 703-1133	
<b>Email:</b> <a href="mailto:Water.Division@cpuc.ca.gov">Water.Division@cpuc.ca.gov</a>	

**DWA USE ONLY**

DATE

STAFF

COMMENTS

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☐ APPROVED

☐ WITHDRAWN

☐ REJECTED

Signature: \_\_\_\_\_

Comments: \_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_



**CALIFORNIA WATER SERVICE COMPANY**  
1720 NORTH FIRST STREET  
SAN JOSE, CA 95112 • (408) 367-8200 • F (408) 367-8428

October 31, 2018

**Advice Letter No. 2318**

To the California Public Utilities Commission:

California Water Service Company ("Cal Water") respectfully submits this Tier 2 advice letter requesting authority to make the following changes to tariffs applicable to multiple ratemaking areas.

New/Revised CPUC		Cancelling CPUC	
Sheet No.	Title of Sheet	Schedule No.	Sheet No.
xxxxx-W	Rate Support Fund	RSF	xxxxx-W
xxxxx-W	Table of Contents (Page 1)	TOC	xxxxx-W
xxxxx-W	Table of Contents (Page 5)	TOC	xxxxx-W

**Summary**

This advice letter is filed in compliance with Decision 16-12-042, adopted on December 15, 2016, and Preliminary Statement AM (Rate Support Fund Balancing Account). Under Preliminary Statement AM, Cal Water must file a report on the status of the RSF surcharge by October 31<sup>st</sup> of each year, and may adjust the RSF surcharge effective January 1 of the following year.

Cal Water submits the required report, and requests that RSF surcharges become effective on **January 1, 2019**. Cal Water submits this as a Tier 2 advice letter.

**Background**

The current RSF surcharge was approved in AL 2283 pursuant to D.16-12-042, Ordering Paragraphs 6 and 15. In Preliminary Statement AM, Cal Water is authorized to:

1. File an advice letter to report on the status of the RSF surcharge by October 31<sup>st</sup> of each year. The accounting procedure required for RSF BA are:
  - a. A debit entry equal to the recorded RSF credits (subsidies) given to customers for service provided under Schedule No. RSF;
  - b. A debit entry of \$993,015 for each of the three years in the 2017-2019 rate case cycle to reflect the subsidies that offset the revenue requirements of the former Redwood Valley District service areas of Coast Springs, Lucerne, and Unified;
  - c. A credit entry equal to the recorded RSF surcharges collected from all customers (except for LIRA customers in RSF Districts) as provided under Schedule No. RSF;



- d. A monthly debit or credit entry equal to the average balance in each segment of the account multiplied by  $1/12^{\text{th}}$  of the most recent month's interest rate on Commercial Paper (prime, 90-day) published in the Federal Reserve Statistical Release H-15.
2. Adjust the annual surcharge to zero out the forecasted balance anticipated to be in the account at the end of the following year.

### **Discussion**

The RSF Surcharge is calculated as a ratio: the numerator is the total forecasted RSF program cost; the denominator is the sum of all quantity and services revenue in 2018,<sup>1</sup> minus the service revenue discount given to LIRA customers in 2018. Whereas,

**For the numerator, the RSF program cost** equals to the sum of:

- (Number of subsidized units for 2017) x (the Tier 1 quantity rate for 2018 minus RSF Index), plus;
- The annual subsidy of \$993,015 for the Redwood Valley District, plus;
- The forecasted under-collected RSF account balance as of 12/31/2018, as specified in paragraph 3 of Preliminary Statement AM.

**For the denominator, the sum of all quantity and services revenue in 2018** is based upon the values from Advice Letter 2310 (updated corporate tax and rate of return rates) given the assumption that the new surcharge will be in similar proportion.

The service revenue discount given to LIRA customers in 2019 is based on the number of LIRA customers enrolled in the program in September 2018.

Starting January 1, 2019, the RSF surcharge will be decreased from 0.420% to **0.384%**. The rate will be applied to the basic water charges<sup>2</sup> on the bills of all customers, except for LIRA customers in Kern River Valley, the only RSF district at this time (per Schedule No. RSF).

Neither Preliminary Statement AM nor D.16-12-042 identify the appropriate tier level for this filing. Cal Water is therefore submitting this as a Tier 2 advice letter consistent with Cal Water's Direct Testimony (Exhibit CWS-2 at page 183) in A.15-07-015, which proposes that the annual RSF update mechanism be modeled on the annual LIRA recalculation. In Ordering Paragraph 4 of D.12-09-020, the Commission ordered Cal Water to file annual LIRA surcharge updates via a Tier 2 advice letter.

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<sup>1</sup> All revenue associated with LIRA customers in the remaining RSF district, Kern River Valley, is also excluded.

<sup>2</sup> Basic water charges consist of the service charge and quantity charges for a metered customer, and the flat charge for flat rate customers, after RSF credits are applied; they do not include any other fees, surcharges, or credits.



## CALIFORNIA WATER SERVICE COMPANY

Advice Letter 2318 RSF Surcharge Recalculation for 2019

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### **Requested Effective Date**

Pursuant to Preliminary Statement AM, Cal Water requests that the new RSF surcharge become effective on **January 1, 2019**.

### **Notice**

**Customer Notice:** This is a Compliance Advice Letter that does not require customer notice, as provided in under Water Industry Rule 3.2 of General Order 96-B.

**Service List:** In accordance with General Order 96-B, General Rules 4.3 and 7.2, and Water Industry Rule 4.1, a copy of this advice letter will be mailed or electronically transmitted on **October 31, 2018** to competing and adjacent utilities and other utilities or interested parties having requested such notification.

### **Response or Protest**

Anyone may respond to or protest this advice letter. When submitting a response or protest, please include the utility name and advice letter number in the subject line. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided such a protest may not be made where it would require relitigating a prior order of the Commission.)

A protest shall provide citations or proofs where available to allow staff to properly consider the protest. A response or protest must be made in writing or by electronic mail and must be received by the Division of Water and Audits within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Division of Water and Audits, 3<sup>rd</sup> floor  
California Public Utilities Commission,  
505 Van Ness Avenue, San Francisco, CA 94102  
[water\\_division@cpuc.ca.gov](mailto:water_division@cpuc.ca.gov)



**CALIFORNIA WATER SERVICE COMPANY**

Advice Letter 2318 RSF Surcharge Recalculation for 2019

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On the same date the response or protest is submitted to the Division of Water and Audits, the respondent or protestant shall send a copy by mail (or e-mail) to Cal Water at the following address:

Natalie Wales  
California Water Service Company  
1720 North First Street,  
San Jose, California 95112  
Fax 408/367-8430 or  
E-mail [nwales@calwater.com](mailto:nwales@calwater.com)

Cities and counties requiring Board of Supervisors or Board of Commissioners approval to protest should inform the Division of Water and Audits within the 20-day protest period so a late-filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on. The advice letter process does not provide for any responses, protests or comments, except for the utility's reply, after the 20-day comment period.

**Replies:** The utility shall reply to each protest and may reply to any response. Each reply must be received by the Division of Water and Audits within 5 business days after the end of the protest period and shall be served on the same day to the person who filed the protest or response. If you have not received a reply to your protest within 10 business days, contact California Water Service Company at (408) 367-8200.

CALIFORNIA WATER SERVICE COMPANY

/s/

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Todd Pray, Regulatory Program Manager

cc: Ting-Pong Yuen, ORA

**Table of Contents - Page 1**

The following listed tariff sheets contain all effective rates and rules affecting the rates and service of the Utility together with information relating thereto:

<u>Sheet</u>	<u>Subject Matter</u>	<u>Service Area</u>	<u>Schedule No.</u>	<u>CPUC Sheet No.</u>
	Title Page			5613-W
	Table of Contents			
Page 1	Table of Contents			XXXXX-W (C)
Page 2	Preliminary Statements			11615-W
Page 3	Preliminary Statements			11614-W
Page 4	Preliminary Statements			11627-W
Page 5	Rate Schedules - All Districts			XXXXX-W (C)
Page 6	Rate Schedules - District Specific			11635-W
Page 7	Rate Schedules - District Specific			11634-W
Page 8	Rate Schedules - District Specific			11633-W
Page 9	Rate Schedules - District Specific			11632-W
Page 10	Rate Schedules - District Specific			11631-W
Page 11	Service Area Maps			11618-W
Page 12	Rules			11621-W
Page 13	Rules			11304-W
Page 14	Sample Forms			11409-W
Page 15	Sample Forms			2926-W

(continued)

(To be inserted by utility)  
Advice Letter No. 2318  
Decision No.

Issued by  
PAUL G. TOWNSLEY  
Name  
Vice President  
TITLE

(To be inserted by CPUC)  
Date Filed   
Effective   
Resolution No.

**Table of Contents - Page 5**

Rate Schedules

<u>Sheet Subject Matter</u>	<u>Service Area</u>	<u>Schedule No.</u>	<u>CPUC Sheet No.</u>
<u>Rate Schedules:</u>			
<b>ALL DISTRICTS</b>			
Service to Company Employees		ED-1	5168-W
Surcharge to Fund Public Utilities Commission Reimbursement Fee		UF	11632-W
Rate Support Fund		RSF	XXXXX-W (C)
Low Income Ratepayer Assistance			
Page 1		LIRA	11325-W
Page 2		LIRA	10371-W
Page 3		LIRA	11517-W
PBOP Surcharge		PB	7049-W
Additional Surcharges/Surcredits			
Page 1		AS	11676-W
Page 2		AS	11675-W
Page 3		AS	11664-W
Page 4		AS	11125-W
Page 5		AS	11460-W
Page 6		AS	11394-W
Fire Flow Testing Charge		FF	8597-W
Construction and Temporary Metered Service			
Page 1		9-CM	11514-W
Page 2		9-CM	11513-W
Schedule 14.1			
Page 1		14.1	11052-W
Page 2		14.1	10760-W
Page 3		14.1	11051-W
Page 4		14.1	10758-W
Page 5		14.1	10757-W
Page 6		14.1	10756-W
Page 7		14.1	11050-W
Page 8		14.1	11049-W
Page 9		14.1	11048-W
Page 10		14.1	11047-W
Page 11		14.1	11046-W
Page 12		14.1	11045-W
Page 13		14.1	11044-W
Page 14		14.1	11043-W
Page 15		14.1	11122-W
Page 16		14.1	11041-W
Private Fire Protection Service			
Page 1		AA-4	11629-W
Page 2		AA-4	11630-W

(continued)

(To be inserted by utility)  
 Advice Letter No. 2318  
 Decision No. \_\_\_\_\_

Issued by  
PAUL G. TOWNSLEY  
 Name  
Vice President  
 TITLE

(To be inserted by CPUC)  
 Date Filed \_\_\_\_\_  
 Effective \_\_\_\_\_  
 Resolution No. \_\_\_\_\_

Schedule No. RSF

All Tariff Areas

RATE SUPPORT FUND

The Rate Support Fund (RSF) Program provides credits on the bills of customers in the Kern River Valley District. It also provides a subsidy of \$993,015 to reduce the revenue requirements of the service areas in the Redwood Valley District for each of the three years in this rate case cycle (2017-2019).

The RSF Program is funded through surcharges on other customers as specified below.

RSF SURCHARGE

APPLICABILITY:

Applicable to all water service except that provided for:

- (1) public and private fire protection services
- (2) under Schedules OR-3M, OR-3M-I, and OR-2UL for raw water delivery along the Powers Canal and
- (3) LIRA customers located in an RSF District (see Schedule LIRA).

TERRITORY:

All territories served.

SURCHARGE:

An RSF surcharge rate of **0.384%** will be applied to the basic water charges (identified below) on a customer's bill.

( R )

"Basic water charges" as referenced in this Schedule consist of the service charge and quantity charges for a metered customer, and the flat charge for flat-rate customers, after RSF credits are applied; they do not include any other fees, surcharges, or credits.

RSF CREDIT

APPLICABILITY:

Applicable to all water service in the Kern River Valley District (the "RSF District"), except that provided for public and private fire protection services.

CREDIT AMOUNTS:

A discounted quantity rate on the first units of water consumption per month up to the "RSF Usage Limit."

Discounted RSF quantity rate..... **\$5.28** per 100 cubic feet (CCF)

The Discounted RSF Quantity Rates apply to monthly water consumption up to the following RSF Usage Limits:

Kern River Valley District	First <b>10</b> CCF
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Additional units will be charged at the quantity rates described on the rate schedules for those service areas.

Kern River Valley District	Schedule KRV-1
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SPECIAL CONDITIONS

1. The RSF Credits and Surcharges on this tariff shall be separately identified on customer bills.
2. Customer bills in the former Redwood Valley District service areas (Coast Springs, Lucerne, and Unified) shall have a message indicating that their basic water rates (service charge and quantity rates) are lower due to a subsidy from the RSF program. This bill message will continue as long as the RSF subsidy continues.
3. In no instance should the total amount of the combined RSF Credit and and LIRA discount received by a customer in any month exceeds that customer's water bill for service in that month.

(To be inserted by utility)  
Advice Letter No. 2318  
Decision No. \_\_\_\_\_

Issued by  
PAUL G. TOWNSLEY  
NAME  
Vice President  
TITLE

(To be inserted by Cal. P.U.C.)  
Date Filed \_\_\_\_\_  
Effective \_\_\_\_\_  
Resolution No. \_\_\_\_\_





## **Antelope Valley District**

ADVICE LETTER FILING MAILING LIST

PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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### ADVICE LETTER FILING MAILING LIST PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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## Bay Area Region

### ADVICE LETTER FILING MAILING LIST

PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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ADVICE LETTER FILING MAILING LIST  
PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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ADVICE LETTER FILING MAILING LIST  
PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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## **Dixon District**

ADVICE LETTER FILING MAILING LIST  
PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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ADVICE LETTER FILING MAILING LIST

PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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## East Los Angeles District

### ADVICE LETTER FILING MAILING LIST

PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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ADVICE LETTER FILING MAILING LIST  
PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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