



March 25, 2016

Advice Letter No. 2211

To the California Public Utilities Commission:

California Water Service Company (“Cal Water”) respectfully submits this Tier 1 advice letter and hereby transmits for filing the following changes in its tariff schedules applicable to all districts, including Grand Oaks. Hard copies of this filing will follow.

New/Revised		Cancelling	
C.P.U.C.		C.P.U.C.	
Sheet No.	Title of Sheet	Schedule No.	Sheet No.
xxxxx-W	Schedule No. 14.1, Page 1	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 3	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 7	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 8	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 9	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 10	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 11	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 12	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 13	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 14	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 15	Schedule No. 14.1	xxxxx-W
xxxxx-W	Schedule No. 14.1, Page 16	Schedule No. 14.1	xxxxx-W
xxxxx-W	Table of Contents, Page 1	Schedule No. 14.1	xxxxx-W
xxxxx-W	Table of Contents, Page 2	Schedule No. 14.1	xxxxx-W

Summary

Via this Tier 1 advice letter, Cal Water requests Commission approval to modify Schedule 14.1 (which contains “Staged Mandatory Reductions and Drought Surcharges”) to adopt a tiered framework for applying drought surcharges. The tiered framework exempts small amounts of excess usage from Drought Surcharges, while continuing to encourage conservation efforts aligned with the mandatory reduction percentages for each service area that have been established by the State Water Resources Control Board (“Water Board”). This framework will also allow Cal Water to facilitate the implementation of future changes adopted by the Water Board.

Cal Water requests an effective date of **March 31, 2016** for Schedule 14.1, with customers experiencing these changes on a rolling basis as billing periods begin on or after March 31, 2016.



Background

On February 11, 2016, the Commission adopted Resolution W-5082 ordering compliance with changes to drought requirements established by the State Water Resources Control Board (“Water Board”). Res. W-5082 provides the following summary of the resolution and of recent events:

SUMMARY:

On November 13, 2015, Governor Edmund G. Brown Jr. issued Executive Order B-36-15 (EO) directing the California Public Utilities Commission (Commission) and the State Water Resources Control Board (Water Board) to continue restrictions on water use through October 31, 2016, should the drought persist through January 2016. The Governor’s order stated that modifications could be made to the then existing restrictions to reflect insights gained from enforcing those restrictions during 2015. This resolution continues the restrictions the Commission adopted on May 7, 2015 by Resolution W-5041 with system specific modifications to adjust for climate, growth, and use of drought resilient source capacity. These changes are consistent with the changes adopted by the Water Board in its Resolution 2016-0007, adopted February 2, 2016. The Water Board has stated that should conditions in March or April 2016 warrant, it will consider adjusting further, or eliminating, the restrictions. The Commission will then act accordingly to consider adjusting or eliminating restrictions for its regulated utilities.

BACKGROUND:

The existing Emergency Regulation was adopted by the Water Board on May 5, 2015 by Resolution No. 2015-0032. The restrictions were to remain in effect for 270 days. The Commission ordered the utilities under its jurisdiction to comply with the Water Board’s order on May 7, 2015 by Resolution W-5041. The Emergency Regulation, as adopted by Res. W-5041, is attached as Appendix A.

The Governor issued EO B-36-15 on November 13, 2015. The order stated that California faced the prospect of simultaneously preparing for a “fifth year of drought and the possibility of major winter storms driven by the warming trend in the Pacific Ocean known as El Niño.” The Water Board was ordered to extend the then existing emergency drought restrictions through October 31, 2016 should drought conditions persist through January 2016. The order also stated that the “Water Board shall continue modifying its existing restrictions to address uses of potable and non-potable water, as well as to incorporate insights gained from existing restrictions.” The Commission was also requested to take similar action.¹

Res. W-5082 goes on to indicate that, “[d]espite above average precipitation in December 2015 and January 2016, the effects of the drought will likely continue through 2016 and beyond. ... Regulations for most systems will likely remain unchanged. However, urban systems (those with

¹ Res. W-5082 at 1-2.



more than 3,000 service connections) that meet certain criteria would see a reduction of the conservation standards currently imposed by the tier system.”²

Discussion

This filing is consistent with Resolution W-5082, which orders continued compliance with the evolving requirements of the Water Board. Under the Water Board’s extended emergency regulations, service areas that meet certain criteria may see a reduction in their mandatory reduction requirements.

What Drought Surcharge Framework does Cal Water Propose?

- Cal Water proposes modifications to its existing Schedule 14.1 that would apply to Stage 2 and higher. The modifications result in less restrictive rates and conditions.
- Under the modified framework, a “Surcharge Courtesy Tier” is established so that small amounts of excess water use above a customer’s Water Budget would not receive Drought Surcharges. Excess usage above the Surcharge Courtesy Tier would continue to be subject to existing Drought Surcharges.
- The Surcharge Courtesy Tier is identified as Tier A, and the number of units in Tier A depends upon the usage characteristics of each service area and whether the service area is in compliance with the Mandatory Reductions established by the Water Board for that area.
- All excess usage above Tier A is considered to be Tier B usage to which Drought Surcharges are fully applicable.
- Importantly, the current Water Banking process will continue without modification. This means that, while excess usage in Tier A will not be surcharged, it will continue to count against any amounts that the customer has “banked.”

Why Modify the Current Drought Surcharge Framework?

- The modifications allow the company to recognize the good conservation behavior of customers with small amounts of excess usage above their Water Budgets. On the other hand, retaining Drought Surcharges for water usage that is several units in excess of a Water Budget encourages customers to continue their conservation behavior and incorporate it into their normal routines.
- A more lenient application of Drought Surcharges should also decrease the volume of calls and Water Budget appeals. Excess water usage patterns show that the majority of customers who receive Drought Surcharges exceed their Water Budgets by relatively small amounts, while a relatively small number of customers in a district significantly exceed their Water Budgets.

² *Id.* at 3.



- With a “courtesy” tier of excess usage, customers with modest overages are less likely to request a higher Water Budget. Handling Water Budget appeals is a labor-intensive process whose costs are charged to Cal Water’s Drought Memo Account.
- This shift in resources will allow Cal Water to focus its drought and conservation efforts on “outlying” customers, where there is a greater potential for water savings. Targeted education and encouragement is more cost-effective for achieving marginal conservation in service areas that, as a whole, are successfully conserving.

How are Tiers A and B Established?

- Service areas that are meeting the Mandatory Reduction levels set by the Water Board have a higher number of units in Tier A, up to 6 CCF. Customers in districts that have successfully conserved benefit from a larger “courtesy” band that is not subject to Drought Surcharges.
- For districts in compliance, the specific number of units in Tier A is determined as follows:
 - Tier A is set at the number of units (CCF) exceeding the water budget for the median Single Family Residential customer, in that district, who incurred surcharges from July 2015 to January 2016.
 - The maximum usage in Tier A, for districts in compliance, is set at 6 CCF.
- For the Cal Water service areas that are out of compliance with the Water Board’s requirements, service area-wide savings are not far from Mandatory Reduction levels. Tier A is therefore set at 1 CCF for districts not in compliance.

Why Provide a Tier A to Non-Compliant Service Areas?

- Cal Water takes this approach because allowing one “courtesy” unit should lessen call volumes to districts and the Call Center, while maintaining the pressure on customers who continue to have high usage above their Water Budgets.

If a Service Area’s Compliance Status Changes, how will Schedule 14.1 be Updated?

- Changes to Schedule 14.1 that result in “less restrictive” terms and conditions, such as a larger “courtesy tier” (more units are put into Tier A and exempted from Drought Surcharges), higher Water Budgets, or lower Drought Surcharges, will be implemented by filing a Tier 1 advice letter.
- Changes to Schedule 14.1 that result in “more restrictive” terms and conditions, such as a smaller “courtesy tier” (the number of units exempt from Drought Surcharges decreases), lower Water Budgets, or higher Drought Surcharges, will be implemented by filing a Tier 2 advice letter, with customers receiving notice of the changes through a bill insert or direct mailing prior to the change.



- Therefore, if a service area that is out of compliance is then able to achieve cumulative water savings that meet the Water Board's Mandatory Reduction percentage, Cal Water could shift that district to "compliant status" for purposes of Schedule 14.1, and its customers could benefit from a larger Tier A "courtesy tier," through a Tier 1 advice letter filing. The reverse would occur through a Tier 2 advice letter.
- Such changes in Tiers A and B excess usage amounts that are due to a shift in a service area's compliance status, however, will not occur more often than 90 days apart unless modifications are necessary due to changing requirements from the Water Board.

Requested Effective Date

Cal Water requests an effective date of **March 31, 2016** for Schedule 14.1, which applies these changes to customer bills on a rolling basis, as billing periods begin on or after March 31, 2016.

Notice

Customer Notice: Customers will receive notice of these modifications to Schedule 14.1 via direct mailings prior to **March 31, 2016**, the requested effective date for this advice letter.

Service List: In accordance with General Order 96-B, General Rules 4.3 and 7.2, and Water Industry Rule 4.1, a copy of this advice letter will be mailed or electronically transmitted on **March 25, 2016** to competing and adjacent utilities and other utilities or interested parties having requested such notification.

Response or Protest

Anyone may respond to or protest this advice letter. When submitting a response or protest, please include the utility name and advice letter number in the subject line. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided such a protest may not be made where it would require relitigating a prior order of the Commission.)



CALIFORNIA WATER SERVICE COMPANY

Advice Letter 2211, Modification to Schedule 14.1

Page 7

A protest shall provide citations or proofs where available to allow staff to properly consider the protest. A response or protest must be made in writing or by electronic mail and must be received by the Division of Water and Audits within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Division of Water and Audits, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Division of Water and Audits, the respondent or protestant shall send a copy by mail (or e-mail) to Cal Water at the following address:

Darin Duncan
California Water Service Company
1720 North First Street,
San Jose, California 95112
Fax 408/367-8430 or
E-mail dduncan@calwater.com

Cities and counties requiring Board of Supervisors or Board of Commissioners approval to protest should inform the Division of Water and Audits within the 20-day protest period so a late-filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

The advice letter process does not provide for any responses, protests or comments, except for the utility's reply, after the 20-day comment period.

Replies: The utility shall reply to each protest and may reply to any response. Each reply must be received by the Division of Water and Audits within 5 business days after the end of the protest period and shall be served on the same day to the person who filed the protest or response. If you have not received a reply to your protest within 10 business days, contact California Water Service Company at (408) 367-8200.

CALIFORNIA WATER SERVICE COMPANY

/s/

Natalie Wales
Regulatory Counsel

cc: Ting-Pong Yuen, ORA

Appendix 1 - Tariff Sheet Changes in Advice Letter 2211 (Schedule 14.1)

New/Revised C.P.U.C. Sheet No.	Title of Sheet	Schedule No.	Cancelling C.P.U.C. Sheet No.
xxxxx -W	Table of Contents (Page 1)	Not Applicable	10844 -W
xxxxx -W	Table of Contents (Page 2)	Not Applicable	10829 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 1)	14.1 (Page 1)	10760 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 3)	14.1 (Page 3)	10758 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 7)	14.1 (Page 7)	10754 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 8)	14.1 (Page 8)	10753 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 9)	14.1 (Page 9)	10752 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 10)	14.1 (Page 10)	10751 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 11)	14.1 (Page 11)	10750 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 12)	14.1 (Page 12)	10749 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 13)	14.1 (Page 13)	10748 -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 14)	14.1 (Page 14)	none -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 15)	14.1 (Page 15)	none -W
xxxxx -W	Schedule 14.1 - Drought Surcharges (Page 16)	14.1 (Page 16)	none -W

Table of Contents (Page 1 of 8)

The following listed tariff sheets contain all effective rates and rules affecting the rates and service of the Utility together with information relating thereto:

<u>Sheet Subject Matter</u>	<u>Cal. P.U.C. Sheet No.</u>
Title Page	5613-W
Table of Contentsxxxxx-xxxxx-10843-10813-10808-10197-10747-10732-W (C)
Preliminary Statement A	9240-8212-W
Preliminary Statement B-D	610-W
Preliminary Statement F.....	8312-W
Preliminary Statement H.....	6686-W
Preliminary Statement J2.....	10438-W
Preliminary Statement K.....	7313-W
Preliminary Statement M	-8372-10605-10374-10604-10488-10603-10480-10601-W
Preliminary Statement M	10408-10602-10489-10600-10409-10599-10482-10598-W
Preliminary Statement M	10596-10391-10595-10594-10483-10395-10593-10397-W
Preliminary Statement P.....	7446-W
Preliminary Statement Q.....	10043-W
Preliminary Statement S.....	8013-W
Preliminary Statement T.....	8017-W
Preliminary Statement U.....	8446-W
Preliminary Statement V.....	8154-W
Preliminary Statement W.....	8156-W
Preliminary Statement X.....	8314-W
Preliminary Statement Z1.....	10439-W
Preliminary Statement Z2.....	10440-W
Preliminary Statement AA1.....	10441-W
Preliminary Statement AA2.....	10442-W
Preliminary Statement AB2.....	10443-W
Preliminary Statement AC.....	8637-W
Preliminary Statement AD.....	10451-W
Preliminary Statement AE.....	10444-W, 10445-W
Preliminary Statement AF.....	9025-W
Preliminary Statement AG.....	9032-W
Preliminary Statement AI.....	10221-W
Preliminary Statement AJ.....	9671-W
Preliminary Statement AK.....	10101-W
Preliminary Statement AL.....	10208-W
Preliminary Statement AM.....	10446-W
Preliminary Statement AN.....	10447-W
Preliminary Statement AO.....	10448-W
Preliminary Statement AP.....	10449-W
Preliminary Statement AQ.....	10450-W
Preliminary Statement AR.....	10447-W

(continued)

(To be inserted by utility)
 Advice Letter No. 2211
 Decision No. -

Issued by
PAUL G. TOWNSLEY
NAME
Vice President
TITLE

(To be inserted by Cal. P.U.C.)
 Date Filed _____
 Effective _____
 Resolution No. _____

Table of Contents (Page 2 of 8)

(continued)

<u>Sheet Subject Matter</u>	<u>Schedule Number</u>	<u>Cal. P.U.C. Sheet No.</u>
<u>RATE SCHEDULES:</u>		
ALL DISTRICTS		
Service to Company Employees	ED-1	5168-W
Rate Support Fund	RSF	10369-W
Low Income Ratepayer Assistance (Page 1 of 3)	LIRA	10370-W
Low Income Ratepayer Assistance (Page 2 of 3)	LIRA	10371-W
Low Income Ratepayer Assistance (Page 3 of 3)	LIRA	10553-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 1)	14.1	xxxxx-W (C)
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 2)	14.1	10759-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 3)	14.1	xxxxx-W (C)
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 4)	14.1	10757-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 5)	14.1	10756-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 6)	14.1	10755-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 7)	14.1	xxxxx-W (C)
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 8)	14.1	xxxxx-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 9)	14.1	xxxxx-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 10)	14.1	xxxxx-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 11)	14.1	xxxxx-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 12)	14.1	xxxxx-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 13)	14.1	xxxxx-W (C)
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 14)	14.1	xxxxx-W (N)
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 15)	14.1	xxxxx-W
Schedule 14.1 - Staged Mand. Reductions and Drought Surcharges (Page 16)	14.1	xxxxx-W (N)
PBOP Surcharge	PB	7049-W
Additional Surcharges/Surcredits	AS	10199-W
Additional Surcharges/Surcredits Page 2	AS pg 2	10464-W
Additional Surcharges/Surcredits Page 3	AS pg 3	10554-W
Additional Surcharges/Surcredits Page 4	AS pg 4	10555-W
ANTELOPE VALLEY DISTRICT		
Residential Metered Service - Leona Valley Service Area	AV-LEO-1-R	10592-W
Nonresidential Metered Service - Leona Valley Service Area	AV-LEO-1-NR	10591-W
Residential Metered Service-Lancaster Service Area	AV-LAN-1-R	10590-W
Nonresidential Metered Service- Lancaster Service Area	AV-LAN-1-NR	10589-W
General Metered Service-Fremont Valley Lake Hughes Service Area	AV-FM-1	10588-W
Limited Residential Flat Rate Service-Lake Hughes Service Area	AV-LH-2R	6550-W
Private Fire Protection Service-All Antelope Valley Service Areas	AV-4	10266-W
BAKERSFIELD DISTRICT		
Residential Metered Service	BK-1-R	10267-W
Nonresidential Metered Service	BK-1-NR	10268-W
Residential Flat Rate Service	BK-2R	10269-W
Private Fire Protection Service	BK-4	10270-W
Public Fire Hydrant Service	BK-5	10271-W
BAYSHORE DISTRICT		
Residential Metered Service - South San Francisco Service Area	BAY-1-R	10587-W
Nonresidential Metered Service - South San Francisco Service Area	BAY-1-NR	10586-W
Private Fire Protection Service	BAY-4	10278-W
BEAR GULCH DISTRICT		
Residential Metered Service	BG-1-R	10484-W
Nonresidential Metered Service	BG-1-NR	10485-W
Private Fire Protection Service	BG-4	10281-W
Public Fire Hydrant Service	BG-5	9547-W
CHICO - HAMILTON CITY DISTRICT		
Residential Metered Service	CH-1-R	10585-W
Nonresidential Metered Service	CH-1-NR	10584-W
Private Fire Protection Service	CH-4	10285-W
DIXON DISTRICT		
Residential Metered Service	DX-1-R	10472-W
Nonresidential Metered Service	DX-1-NR	10473-W
	DX-4	10288-W

(continued)

Schedule No. 14.1
WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES

Page 1

A. APPLICABILITY

1. This schedule applies to all of California Water Service's regulated ratemaking areas in California, as well as Grand Oaks Water.

B. GENERAL INFORMATION

1. All expenses incurred by California Water Service to implement Rule 14.1, and Schedule 14.1, and requirements of the California State Water Resources Control Board ("Water Board") that have not been considered in a General Rate Case or other proceeding shall be accumulated by Cal Water in a separate memorandum account, authorized in Resolution W-4976, for disposition as directed or authorized from time to time by the Commission.
2. All monies collected by Cal Water through waste of water penalties established in this schedule shall be recorded in the appropriate memorandum account and used to offset the expenses described in Section 1 above.
3. Except in the case of Grand Oaks, all monies collected by Cal Water through drought surcharges, as established by the Mandatory Water Budgets found in Schedule 14.1, shall be recorded in the appropriate Water Revenue Adjustment Mechanism ("WRAM") account and used to offset under-collected revenues.
4. To the extent that any provision in this Schedule is inconsistent with Rule 14.1, the provisions of this Schedule apply. (D)
5. On April 1, 2015, the Governor of the State of California issued Executive Order B-29-15 due to severe drought conditions. The Executive Order, among other requirements, directs the State Water Resources Control Board ("Water Board") to impose restrictions on urban water suppliers like Cal Water to achieve a statewide 25% reduction in potable urban usage, as compared with the amount used in 2013, through February 2016. (L)
 |
 |
 (L)
 (D)
 Urban water suppliers must develop rate structures and other pricing mechanisms, such as surcharges and penalties, to achieve 25% water conservation. (L)
 |
6. On May 5, 2015, the Water Board issued an Emergency Regulation by Resolution No. 2015-0032 due to continuing drought conditions with specific water use reductions, by service area, and prohibitions on how end-use customers can use potable water. On May 7, 2015, the California Public Utilities Commission ("Commission") issued Resolution W-5041 ordering compliance with the mandates of the Governor and the Water Board. (L)
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7. On November 13, 2015, the Governor of the State of California issued Executive Order B-36-15 that directed the Water Board to, if drought conditions persist through January 2016, extend until October 31, 2016 restrictions to achieve a statewide reduction in potable usage. (N)
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8. On February 2, 2016, the Water Board adopted an extended and revised Emergency Regulation due to continuing drought conditions. On February 11, 2016, the Commission issued Resolution W-5082 ordering compliance with the mandates of the Governor and the Water Board. (N)
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C. DEFINITIONS

For the purposes of this Schedule, the following terms have the meanings set forth in this section. (These are the same as in Rule 14.1, unless otherwise specified.)

1. "Commercial nursery" means the use of land, buildings or structures for the growing and/or storing of flowers, fruit trees, ornamental trees, vegetable plants, shrubs, trees and similar vegetation for the purpose of transplanting, for use as stock or grafting, and includes the retail sale or wholesale distribution of such items directly from the premises/lot.

(continued)

(To be inserted by utility) Advice Letter No. <u>2211</u> Decision No. <u>-</u>	Issued by PAUL G. TOWNSLEY <small>NAME</small> Vice President <small>TITLE</small>	(To be inserted by Cal. P.U.C.) Date Filed _____ Effective _____ Resolution No. _____
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Schedule No. 14.1

WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

Page 3

C. DEFINITIONS (Continued)

laboratory in accordance with the Environmental Protection Agency’s WaterSense program (or an analogous successor program), and certified by such body or laboratory as meeting the performance and efficiency requirements of such program, or the more stringent performance and efficiency requirements of another similar program.

- 19. “Special landscape area” means an area of the landscape dedicated solely to edible plants and areas dedicated to active play such as parks, sports fields, golf courses, and where turf provides a playing surface.
- 20. “Turf” means a ground cover surface of grass that can be mowed.
- 21. “Water feature” means a design element where open, artificially supplied water performs an aesthetic or recreation feature, including, but not limited to, ponds, lakes, waterfalls, fountains, and streams.
- 22. “Water use evaluation” means an evaluation of the efficiency of indoor water-using devices, including, but not limited to, measurement of flow rates for all existing showerheads, faucets, and toilets, inspection for leaks, and providing written recommendations to improve the efficiency of the indoor water-using fixtures and devices and/or an evaluation of the performance of an irrigation system, including, but not limited to, inspection for leaks, reporting of overspray or runoff, and providing written recommendations to improve the performance of the irrigation system.

D. WASTE OF WATER PENALTIES

Each Stage of this Schedule establishes certain restrictions on the use of potable water. Violating the restrictions set forth in a particular Stage while it is in effect is declared a non-essential, wasteful use of potable water. Cal Water is authorized to take the following actions when its personnel verify a customer is using potable water for non-essential, wasteful uses. No person shall have any right or claim in law or in equity, against Cal Water because of, or as a result of, any matter or thing done or threatened to be done pursuant to the restrictions on using potable water for non-essential, wasteful uses.

Note: When a Stage in this Schedule has been activated, Section D in this Schedule supersedes Section D (Enforcement) in Rule 14.1.

1. FIRST VIOLATION: Cal Water shall provide the customer with a written notice of violation. In addition, Cal Water is authorized to take the following actions:

- a. If the customer currently receives service through a metered connection, install a real-time water measurement device on the customer’s service line and provide the customer with access to information from the device. The cost of the device, including installation and ongoing operating costs, may be billed to the customer, and nonpayment may result in discontinuance of service. (C)
- b. If the customer does not currently receive service through a metered connection, install a water meter on the customer’s service line, charge the customer for water use pursuant to Cal Water’s metered service tariffs and rules, and install a real-time water measurement device on the customer’s service line and provide the customer with access to information from the device. The cost of the device, including installation and ongoing operating costs, may be billed to the customer, and nonpayment may result in discontinuance of service. (C)

2. SECOND VIOLATION: If Cal Water verifies that the customer has used potable water for non-essential, wasteful uses after having been notified of the first violation, Cal Water shall provide the customer with a second written notice of violation. In addition to the actions prescribed under the first violation above, Cal Water is authorized to take the following actions:

- a. Apply the following waste of water penalties, which are in addition to any other charges authorized by this Schedule or other Cal Water tariffs.
 - i. If Stage 1 is in effect, \$25 (Stage 1 is detailed below in Section E).
 - ii. If Stage 2 is in effect, \$50 (Stage 2 is detailed below in Section F).

(continued)

(To be inserted by utility)
Advice Letter No. 2211

Issued by
PAUL G. TOWNSLEY
NAME

(To be inserted by Cal. P.U.C.)
Date Filed _____

Decision No. -

Vice President
TITLE

Effective _____

Resolution No. _____

Schedule No. 14.1

WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

Page 7

E. STAGE ONE WATER USE RESTRICTIONS (Continued)

[Stage 1 (cont.)]

- e. Limits on Filling Ornamental Lakes or Ponds: Filling or re-filling ornamental lakes or ponds with potable water is prohibited, except to the extent needed to sustain aquatic life, provided that such animals are of significant value and have been actively managed within the water feature prior to the implementation of any staged mandatory restrictions of water use as described in this Schedule.
- f. Other duly adopted restrictions on the use of potable water as prescribed from time to time by the Commission or other authorized government agencies are incorporated herein by reference.

F. STAGE TWO WATER USE RESTRICTIONS

1. MANDATORY WATER BUDGETS AND BANKING (STAGE 2)

As described in greater detail below, the Water Board has mandated reductions in potable urban usage, as compared with the amount used in 2013, in each of Cal Water’s service areas. Water suppliers must develop rate structures and other pricing mechanisms, such as surcharges and penalties, to achieve these mandated reductions (T)

a. **Mandatory Reduction Percentages:** The Water Board has established increasing levels of required water reduction for each service areas based upon the residential per capita per day use (R-GPCD) in that service area for the three summer months of July through September 2014. The Water Board’s approach considers the relative per capita water usage in each service area and requires that those areas with high per-capita use achieve proportionally greater reductions than those with low use. The Water Board has also allowed for adjustments to these required water reductions based on specific criteria. (T)

Each month, the Water Board determines whether a service area has met its mandatory reduction percentage by calculating cumulative savings in the service area since June 2015, and comparing those with the amount of water used during the same months in 2013. (T)

b. **Customer Water Budgets:** Each customer with metered potable water service (residential and non-residential customers) will receive an individualized “Water Budget” for each billing period. (T)

i. The Water Budget will be based on the units of water (CCF) that customer used in the same billing period in 2013, minus the Mandatory Reduction Percentage established by the Water Board for that customer’s service area. A customer’s Water Budget will vary according to their monthly water usage in 2013. Cal Water shall notify its customers of any changes to the Mandatory Reduction Percentage by the Water Board through bill inserts or direct mailings prior to applying the changed percentage in the requirements in this Schedule, consistent with the "Update" process described in Section F.I.d.(iv) of this Schedule. Cal Water shall also include the current Mandatory Reduction Percentage in effect for each service area on its website. (C)

ii. If a customer was not in his or her current location in 2013, the average monthly consumption will be used as a starting budget. If customers have a unique situation and the average budget is not appropriate, they can file an appeal to have their Water Budget increased. Cal Water may also modify the starting budget to reflect suitable use. (T)

iii. The Water Budget for the following billing period will appear on each customer’s water bill. Customers will also be able to find their Water Budgets, and their individual water use history dating back to 2013, by going to usage.calwater.com (do not include “www”), and entering their account number, street (or house) number, and ZIP code. (T)

(D)

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Schedule No. 14.1

WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

Page 8

F. STAGE TWO WATER USE RESTRICTIONS (continued)

(T)

1. MANDATORY WATER BUDGETS AND BANKING (STAGE 2) (continued)

(T)

c. **Minimum Water Budgets:** A minimum monthly amount of water that protects the health and safety of customers will be established for each service area as a Minimum Water Budget for single-family residential customers.

- i. No single-family residential customer will have a water budget that is below the threshold of the monthly Minimum Water Budget, even if applying the Mandatory Reduction Percentage to that customer's 2013 usage would result in a lower amount.
- ii. The Minimum Water Budget for each service area is identified in **Appendix A**. (For areas with bi-monthly billing and bi-monthly water budgets, the Minimum Water Budget in Appendix A should be doubled for the billing period.)

d. **Drought Surcharges:** If a customer uses more units of potable water (CCF) than their Water Budget in a billing period, that customer's water bill may reflect an additional "Drought Surcharge" for each unit of water over the Water Budget, depending on the amount of excess usage (according to usage tiers described below).

(T)

(C)

- i. **Tier A and Tier B Excess Water Usage:** Excess water usage above a customer's Water Budget may fall into one or both of two tiers – Tier A and Tier B. The amount of usage in Tiers A and B varies by service area, and depends upon whether an area has met its Mandatory Reduction Percentage on a cumulative basis.

For the purposes of Drought Surcharges, each service area will fall into one of two categories – those in compliance with the Mandatory Reduction Percentage, and those not in compliance. There are two sample tables in the last section of this Schedule (**Section I**). The first sample table identifies the Tier A and B usage amounts for those service areas that are in compliance with their Mandatory Reduction Percentage, as of the date specified in **Appendix A**. The second sample table identifies the Tier A and B usage amounts for those service areas that are not in compliance with their Mandatory Reduction Percentage, as of the date specified in **Appendix A**.

(C)

- ii. **Current Surcharges and Tiers:** **Appendix A** to this schedule provides the Drought Surcharge rate per unit of water and the excess water usage in Tiers A and B that are currently in effect for each service area.

(T)

(C)

At this time, Drought Surcharges only apply to excess water usage that falls within "Tier B." Excess water usage in Tier A constitutes a "courtesy" tier to which Drought Surcharges are not applied. As stated below under Water Banking, however, all excess water usage will be applied against a customer's "banked" water amounts, regardless of whether the usage falls within Tier A or Tier B.

(C)

Customers will continue to pay the normal tariffed rates for potable water, in addition to any applicable Drought Surcharges. Cal Water retains the right to increase the surcharges if there are changes to the rates in the future.

(T)

(T)

- iii. **Current Compliance Status of Service Area:** **Appendix B** to this schedule provides the Mandatory Reduction Percentage adopted by the Water Board for each area, and the actual cumulative savings for each area, **as of the date specified in Appendix A. Drought Surcharges will be applied based on Tier A and B excess water usage beginning with the first day of each billing period that starts on or after March 31, 2016.**

(C)

- iv. **Updates:** An increase in the excess usage designated in Tier A, an increase in Customer Water Budgets, or a decrease in Drought Surcharge rates, are "less restrictive" tariff changes that may be implemented via a Tier 1 advice letter. A decrease in the excess usage designated in Tier A, a decrease in Customer Water Budgets, or an increase in Drought Surcharge rates are "more restrictive" tariff changes that shall be implemented by filing a Tier 2 advice letter. Cal Water shall notify its customers, and provide each customer with a summary of the changes by means of a bill insert or direct mailing, prior to the effective date of a more restrictive tariff change.

A service area's compliance status, which determines the amount of excess usage designated for Tiers A and B, shall be updated no more than once every 90 days, or to implement different requirements of the Water Board as needed.

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Schedule No. 14.1
WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

Page 9

(T)

F. STAGE TWO WATER USE RESTRICTIONS (continued)

|

1. MANDATORY WATER BUDGETS AND BANKING (STAGE 2) (continued)

(T)

e. **Water Banking:** Customers will be able to “bank” unused units of water from their water budget for use in future billing periods.

(L)

- i. Should a customer exceed his or her monthly budget, any banked units of water will be applied to the overage before drought surcharges are imposed.
- ii. Banked water units can only offset future usage that exceeds a water budget.

f. **Water Budget Appeals:** If specified criteria are met, a customer can file an appeal to have his or her water budget increased.

- i. The reasons appeals may be considered include: water use necessary for health and safety; business or economic needs, including process-water requirements; significant long-term savings achieved since 2011; average monthly water use in 2014 that is at least 50% lower than district average; and large animal care (e.g. horse).
- ii. All appeals must be submitted online at www.calwater.com/appeal or via a written application form (available at www.calwater.com/appeal or from our local Customer Center).
- iii. Surcharges incurred during the appeal review period may be waived if the review takes an extended period of time.

2. WASTEFUL USES OF WATER (STAGE 2)

Cal Water may continue to impose the restrictions on the wasteful use of water as outlined in Stage One, except where necessary to address an immediate health or safety need or to comply with a term or condition in a permit issued by a state or federal agency.

G. STAGE THREE WATER USE RESTRICTIONS

1. MANDATORY WATER BUDGETS AND BANKING (STAGE 3)

Water budgets will be based on a customer’s consumption during a historical base period and will include a percentage reduction designed to meet necessary water-use reductions. Cal Water may include provisions such as minimum water budgets to protect the health and safety of customers, and water banking allowing customers additional flexibility with regard to their required reductions.

In addition to the normal rate paid for the unit of water, a drought surcharge will be charged to a customer for each unit of water used over the established water budget for the billing period. Cal Water may implement surcharges up to three (3) times those charged in Stage 2. Cal Water will establish an appeals process for customers that will allow for requests for increased water budgets.

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Schedule No. 14.1
WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

G. STAGE THREE WATER USE RESTRICTIONS (Continued)

[Stage 3 (cont.)]

2. WASTEFUL USES OF WATER (STAGE 3)

The following restrictions may be imposed by Cal Water, except where necessary to address an immediate health or safety need or to comply with a term or condition in a permit issued by a state or federal agency.

Differences from or additions to previous Stages are underlined. (The following restrictions are the same as those provided in Stage 3 of Rule 14.1.)

a. Outdoor Irrigation Restrictions (Stage 3)

i. Irrigating ornamental landscapes with potable water is limited to no more than **two (2) days per week,** on a schedule established and posted by Cal Water on its website or otherwise provided to customers by bill message, bill insert, direct mail, or email, or as follows:

1. Customers with even-numbered addresses may irrigate on Saturdays and Tuesdays (previous Stages allowed Thursdays as well).
2. Customers with odd-numbered addresses may irrigate on Sundays and Wednesdays (previous Stages allowed Fridays as well).
3. Customers without a street address may irrigate on Saturdays and Tuesdays (previous Stages allowed Thursdays as well).
4. Notwithstanding the foregoing restrictions, irrigation of special landscape areas or commercial nurseries may occur as needed, provided that the customer who wishes to irrigate a special landscape area or commercial nursery presents Cal Water with a plan to achieve water use reductions commensurate with those that would be achieved by complying with foregoing restrictions.
5. Notwithstanding the foregoing restrictions, when a city, county, or other local public agency in one of Cal Water's service areas duly adopts restrictions on the number of days or hours of the day that customers may irrigate which are different than those adopted by Cal Water, Cal Water may enforce the city, county, or other local public agency's restrictions.

ii. Irrigating ornamental landscape with potable water is prohibited during the hours between **8:00 a.m. and 6:00 p.m.**

iii. The foregoing restrictions do **not** apply to:

1. Landscape irrigation zones that exclusively use drip irrigation systems and/or micro spray irrigation system;
2. Irrigating ornamental landscapes with the use of a hand-held bucket or similar container, a continuously monitored hose which is fitted with an automatic shut-off nozzle or device attached to it that causes it to cease dispensing water immediately when not in use or monitored, or for the express purpose of adjusting or repairing an irrigation system.

b. **Obligation to Fix Leaks, Breaks or Malfunctions:** All leaks, breaks, or other malfunctions in the customer's plumbing fixtures and/or irrigation system must be repaired within **two (2) business days** of written notification by Cal Water, unless other arrangements are made with Cal Water.

c. **Prohibited Uses of Water:** Customers are prohibited from using potable water for the following actions:

i. The application of potable water to landscapes in a manner that causes runoff such that water flows onto adjacent property, non-irrigated areas, private and public walkways, roadways, parking lots, or structures (note: this provision appears under Section E in Rule 14.1);

(continued)

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Schedule No. 14.1

WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

G. STAGE THREE WATER USE RESTRICTIONS (Continued)

[Stage 3 (cont.)]

- ii. The use of a hose that dispenses potable water to wash vehicles, including cars, trucks, buses, boats, aircraft, and trailers, whether motorized or not, except where the hose is fitted with a shut-off nozzle or device attached to it that causes it to cease dispensing water immediately when not in use (note: this provision appears under Section E in Rule 14.1).
 - iii. The application of potable water to driveways and sidewalks;
 - iv. The use of potable water in a water feature, except where the water is part of a recirculating system;
 - v. The application of potable water to outdoor landscapes during and within forty-eight (48) hours after measurable rainfall;
 - vi. The serving of drinking water other than upon request in eating or drinking establishments, including but not limited to restaurants, hotels, cafes, cafeterias, bars, or other public places where food or drink are served and/or purchased;
 - vii. Irrigation of ornamental turf on public street medians with potable water;
 - viii. Irrigation outside of newly constructed homes and buildings with potable water in a manner inconsistent with regulations or other requirements established by the California Building Standards Commission and the Department of Housing and Community Development.
 - ix. Use of potable water for street cleaning with trucks, except for initial wash-down for construction purposes (if street sweeping is not feasible);
 - x. Use of potable water for construction purposes, such as consolidation of backfill, dust control, or other uses unless no other source of water or other method can be used.
- d. Operators of hotels and motels shall provide guests with the option of choosing not to have towels and linens laundered daily. The hotel or motel shall prominently display notice of this option in each guest room using clear and easily understood language.
- e. Limits on Filling Ornamental Lakes or Ponds: Filling or re-filling ornamental lakes or ponds with potable water is prohibited, except to the extent needed to sustain aquatic life, provided that such animals are of significant value and have been actively managed within the water feature prior to the implementation of any staged mandatory restrictions of water use as described in this Schedule.
- f. Other duly adopted restrictions on the use of potable water as prescribed from time to time by the Commission or other authorized government agencies are incorporated herein by reference.

H. STAGE FOUR WATER USE RESTRICTIONS

1. MANDATORY WATER BUDGETS AND BANKING (STAGE 4)

Water budgets will be based on a customer's consumption during a historical base period and will include a percentage reduction designed to meet necessary water-use reductions. Cal Water may include provisions such as minimum water budgets to protect the health and safety of customers, and water banking allowing customers additional flexibility with regard to their required reductions.

In addition to the normal rate paid for the unit of water, a drought surcharge will be charged to a customer for each unit of water used over the established water budget for the billing period. For Stage 4, Cal Water may implement surcharges up to three (3) times those charged in Stage 2. Cal Water may require customer consumption reductions of up to 50%.

Cal Water will establish an appeals process for customers that will allow for requests for increased water budgets.

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WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

H. STAGE FOUR WATER USE RESTRICTIONS (Continued)

[Stage 4 (cont.)]

2. WASTEFUL USES OF WATER (STAGE 4)

The following restrictions may be imposed by Cal Water, except where necessary to address an immediate health or safety need or to comply with a term or condition in a permit issued by a state or federal agency. Differences from or additions to previous Stages are underlined. (The following restrictions are the same as those provided in Stage 4 of Rule 14.1.)

- a. Irrigating ornamental landscape with potable water is prohibited, except when a hand-held bucket or a similar container, or a continuously monitored hose which is fitted with an automatic shut-off nozzle or device attached to it that causes it to cease dispensing water immediately when not in use or monitored is used to maintain vegetation, including trees and shrubs.
- b. Obligation to Fix Leaks, Breaks or Malfunctions: All leaks, breaks, or other malfunctions in the customer's plumbing fixtures or irrigation system must be repaired within **one (1) business day** of written notification by Cal Water, unless other arrangements are made with Cal Water.
- c. Prohibited Uses of Water: Customers are prohibited from using potable water for the following actions:
 - i. The application of potable water to landscapes in a manner that causes runoff such that water flows onto adjacent property, non-irrigated areas, private and public walkways, roadways, parking lots, or structures;
 - ii. The use of a hose that dispenses potable water to wash vehicles, including cars, trucks, buses, boats, aircraft, and trailers, whether motorized or not, except where the hose is fitted with a shut-off nozzle or device attached to it that causes it to cease dispensing water immediately when not in use.
 - iii. The application of potable water to driveways and sidewalks;
 - iv. The use of potable water in a water feature, except where the water is part of a recirculating system;
 - v. The application of potable water to outdoor landscapes during and within forty-eight (48) hours after measurable rainfall;
 - vi. The serving of drinking water other than upon request in eating or drinking establishments, including but not limited to restaurants, hotels, cafes, cafeterias, bars, or other public places where food or drink are served and/or purchased;
[Note that items previously identified as (ix) and (x) in Stage 3 have been eliminated.]
 - vii. Use of potable water for street cleaning with trucks (previous Stage allowed certain exceptions);
 - viii. Use of potable water for construction purposes, such as consolidation of backfill, dust control, or other uses (previous Stages allowed certain exceptions).
- d. Operators of hotels and motels shall provide guests with the option of choosing not to have towels and linens laundered daily. The hotel or motel shall prominently display notice of this option in each guest room using clear and easily understood language.
- e. Limits on Filling Ornamental Lakes or Ponds: Filling or re-filling ornamental lakes or ponds with potable water is prohibited, except to the extent needed to sustain aquatic life, provided that such animals are of significant value and have been actively managed within the water feature prior to the implementation of any staged mandatory restrictions of water use as described in this Schedule.
- f. Other duly adopted restrictions on the use of potable water as prescribed from time to time by the Commission or other authorized government agencies are incorporated herein by reference.

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Schedule No. 14.1

WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

(T)

I. SAMPLE TABLES WITH TIER A AND TIER B EXCESS USAGE AMOUNTS

(N)

1. FOR DISTRICTS IN COMPLIANCE WITH MANDATORY REDUCTIONS

For the purposes of applying Drought Surcharges, the sample table below identifies the number of units over a customer's Water Budget (the excess usage) that falls within Tiers A and B in a district whose cumulative savings meet the Water Board's Mandatory Reduction Percentage as of the date identified in **Appendix A**.

For Districts in Compliance with Mandatory Water Reduction Targets							
District	Service Area	Tier A - No Surcharges	Tier B - Drought Surcharges Applied		Minimum Water Budget (Ccf per month)	Rate Support Fund Area (RSF)	
		Units Over Water Budget	Units Over Water Budget	Surcharge per unit (Non-LIRA Customers)			Surcharge per unit (LIRA Customers)
Antelope Valley	Fremont Valley & Lake Hughes	1-6	7+	\$4.5200	\$2.2600	5	RSF Area
	Lancaster	1-5	6+	\$7.1180	\$3.5590	5	
	Leona Valley	1-4	5+	\$4.5200	\$2.2600	5	RSF Area
Bakersfield		1-6	7+	\$4.1868	\$2.0934	7	
Bayshore	Mid-Peninsula	1-3	4+	\$10.0000	\$5.0000	6	
	South San Francisco	1-3	4+	\$5.6492	\$2.8246	6	
Bear Gulch		1-5	6+	\$10.0000	\$5.0000	6	
Chico		1-6	7+	\$3.1314	\$1.5657	6	
Dixon		1-3	4+	\$7.9402	\$3.9701	7	
Dominguez		1-3	4+	\$6.9934	\$3.4967	7	
East Los Angeles		1-4	5+	\$3.7605	\$1.8803	9	
Grand Oaks		1-6	7+	\$2.1236	\$1.0618	5	
Hermosa Redondo		1-3	4+	\$9.1586	\$4.5793	5	
Kern River Valley		1-3	4+	\$4.5200	\$2.2600	4	RSF Area
King City		1-4	5+	\$6.7536	\$3.3768	9	
Livermore		1-4	5+	\$7.6194	\$3.8097	6	
Los Altos		1-5	6+	\$8.1608	\$4.0804	6	
Marysville		1-4	5+	\$5.1470	\$2.5735	6	
Oroville		1-5	6+	\$6.1840	\$3.0920	6	
Palos Verdes		1-6	7+	\$9.5358	\$4.7679	6	
Redwood Valley		1-4	5+	\$4.5200	\$2.2600	4	RSF Area
Salinas		1-3	4+	\$5.7776	\$2.8888	7	
Selma		1-5	6+	\$3.0122	\$1.5061	8	
Stockton		1-4	5+	\$5.5506	\$2.7753	7	
Visalia		1-5	6+	\$2.9796	\$1.4898	7	
Westlake		1-6	7+	\$9.2378	\$4.6189	6	
Willows		1-5	6+	\$4.1356	\$2.0678	6	

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WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

Page 14

(T)

I. SAMPLE TABLES WITH TIER A AND TIER B EXCESS USAGE AMOUNTS

(N)

2. FOR DISTRICTS NOT IN COMPLIANCE WITH MANDATORY REDUCTIONS

For the purposes of applying Drought Surcharges, the sample table below identifies the number of units over a customer's Water Budget (the excess usage) that falls within Tiers A and B in a district whose cumulative savings do NOT meet the Water Board's Mandatory Reduction Percentage as of the date identified in **Appendix A**.

For Districts <u>not</u> in Compliance with Mandatory Water Reduction Targets							
District	Service Area	Tier A - No Surcharges	Tier B - Drought Surcharges Applied		Minimum Water Budget (CCF per month)	Rate Support Fund Area (RSF)	
		Units Over Water Budget	Units Over Water Budget	Surcharge per unit (Non-LIRA Customers)			Surcharge per unit (LIRA Customers)
Antelope Valley	Fremont Valley & Lake Hughes	1	2+	\$4.5200	\$2.2600	5	RSF Area
	Lancaster	1	2+	\$7.1180	\$3.5590	5	
	Leona Valley	1	2+	\$4.5200	\$2.2600	5	RSF Area
Bakersfield		1	2+	\$4.1868	\$2.0934	7	
Bayshore	Mid-Peninsula	1	2+	\$10.0000	\$5.0000	6	
	South San Francisco	1	2+	\$5.6492	\$2.8246	6	
Bear Gulch		1	2+	\$10.0000	\$5.0000	6	
Chico		1	2+	\$3.1314	\$1.5657	6	
Dixon		1	2+	\$7.9402	\$3.9701	7	
Dominguez		1	2+	\$6.9934	\$3.4967	7	
East Los Angeles		1	2+	\$3.7605	\$1.8803	9	
Grand Oaks		1	2+	\$2.1236	\$1.0618	5	
Hermosa Redondo		1	2+	\$9.1586	\$4.5793	5	
Kern River Valley		1	2+	\$4.5200	\$2.2600	4	RSF Area
King City		1	2+	\$6.7536	\$3.3768	9	
Livermore		1	2+	\$7.6194	\$3.8097	6	
Los Altos		1	2+	\$8.1608	\$4.0804	6	
Marysville		1	2+	\$5.1470	\$2.5735	6	
Oroville		1	2+	\$6.1840	\$3.0920	6	
Palos Verdes		1	2+	\$9.5358	\$4.7679	6	
Redwood Valley		1	2+	\$4.5200	\$2.2600	4	RSF Area
Salinas		1	2+	\$5.7776	\$2.8888	7	
Selma		1	2+	\$3.0122	\$1.5061	8	
Stockton		1	2+	\$5.5506	\$2.7753	7	
Visalia		1	2+	\$2.9796	\$1.4898	7	
Westlake		1	2+	\$9.2378	\$4.6189	6	
Willows		1	2+	\$4.1356	\$2.0678	6	

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Schedule No. 14.1

WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

Page 15

(T)

APPENDIX A to Schedule 14.1

(T)

Drought Surcharge Tiers Currently in Effect (applies to all metered customers of potable water)

(C)

District	Service Area	In Compliance with Mandatory Reduction? As of 2/1/16	Tier A - No Surcharges	Tier B - Drought Surcharges Applied			Minimum Water Budget (CCF per month)	Rate Support Fund Area (RSF)
			Units Over Water Budget	Units Over Water Budget	Surcharge per unit (Non-LIRA Customers)	Surcharge per unit (LIRA Customers)		
Antelope Valley	Fremont Val. /Lake Hughes		1-6	7+	\$4.5200	\$2.2600	5	RSF Area
	Lancaster		1-5	6+	\$7.1180	\$3.5590	5	
	Leona Valley		1-4	5+	\$4.5200	\$2.2600	5	RSF Area
Bakersfield			1-6	7+	\$4.1868	\$2.0934	7	
Bayshore	Mid-Peninsula		1-3	4+	\$10.0000	\$5.0000	6	
	South San Francisco		1-3	4+	\$5.6492	\$2.8246	6	
Bear Gulch			1-5	6+	\$10.0000	\$5.0000	6	
Chico			1-6	7+	\$3.1314	\$1.5657	6	
Dixon			1-3	4+	\$7.9402	\$3.9701	7	
Dominguez			1-3	4+	\$6.9934	\$3.4967	7	
East Los Angeles			1-4	5+	\$3.7605	\$1.8803	9	
Grand Oaks			1-6	7+	\$2.1236	\$1.0618	5	
Hermosa Redondo		No	1	2+	\$9.1586	\$4.5793	5	
Kern River Valley		No	1	2+	\$4.5200	\$2.2600	4	RSF Area
King City			1-4	5+	\$6.7536	\$3.3768	9	
Livermore			1-4	5+	\$7.6194	\$3.8097	6	
Los Altos			1-5	6+	\$8.1608	\$4.0804	6	
Marysville			1-4	5+	\$5.1470	\$2.5735	6	
Oroville			1-5	6+	\$6.1840	\$3.0920	6	
Palos Verdes		No	1	2+	\$9.5358	\$4.7679	6	
Redwood Valley (all)			1-4	5+	\$4.5200	\$2.2600	4	RSF Area
	Salinas		1-3	4+	\$5.7776	\$2.8888	7	
	Selma		1-5	6+	\$3.0122	\$1.5061	8	
Stockton			1-4	5+	\$5.5506	\$2.7753	7	
Visalia		No	1	2+	\$2.9796	\$1.4898	7	
Westlake		No	1	2+	\$9.2378	\$4.6189	6	
Willows			1-5	6+	\$4.1356	\$2.0678	6	

(C)

- (a) The Drought Surcharge is equal to two (2) times the highest residential tier rate with a \$10.00 maximum EXCEPT:
 The Drought Surcharge in Rate Support Fund (RSF) areas is equal to \$4.52.
 The Drought Surcharge for districts with a 10% or less water reduction requirement is equal to the highest residential tier rate.
- (b) The Drought Surcharge for LIRA customers is 50% of the Drought Surcharge for Non-LIRA customers.
- (c) The Minimum Water Budget is set at 55 gpcd (gallons per capita per day) multiplied by the number of people per household for the area according to the U.S. Census.
- (d) A district is determined to be in compliance if it has met or is within one percent of its Mandatory Reduction requirement.

(N)

(continued)

Schedule No. 14.1

WATER SHORTAGE CONTINGENCY PLAN
WITH STAGED MANDATORY REDUCTIONS AND DROUGHT SURCHARGES (continued)

Page 16

(T)

APPENDIX B to Schedule 14.1

(T)

CUMULATIVE WATER SAVED COMPARED TO MANDATORY REDUCTIONS

(C)

Urban Water Supplier	Cumulative Percentage Saved	Water Board's Target Percentage	In Compliance?
	Jun. 2015 to Jan. 2016 (as compared to 2013) *	Mandatory Reduction *	As of Feb. 1, 2016 **
California Water Service Company Antelope Valley	47.8%	36%	
California Water Service Company Bakersfield	31.1%	32%	
California Water Service Company Bear Gulch	35.0%	36%	
California Water Service Company Chico District	38.3%	32%	
California Water Service Company Dixon, City of	30.2%	28%	
California Water Service Company Dominguez	16.8%	16%	
California Water Service Company East Los Angeles	15.5%	8%	
California Water Service Company Hermosa Redondo	18.3%	20%	No
California Water Service Company Kern River Valley	20.1%	28%	No
California Water Service Company King City	21.8%	12%	
California Water Service Company Livermore	39.9%	24%	
California Water Service Company Los Altos/Suburban	38.1%	32%	
California Water Service Company Marysville	26.2%	24%	
California Water Service Company Mid Peninsula	26.6%	16%	
California Water Service Company Oroville	28.5%	28%	
California Water Service Company Palos Verdes	28.9%	36%	No
California Water Service Company Redwood Valley	31.7%	16%	
California Water Service Company Salinas District	24.9%	16%	
California Water Service Company Selma	39.0%	32%	
California Water Service Company South San Francisco	20.8%	8%	
California Water Service Company Stockton	22.6%	20%	
California Water Service Company Visalia	25.6%	32%	No
California Water Service Company Westlake	33.5%	36%	No
California Water Service Company Willows	30.1%	28%	

(C)

* The figures in Appendix B are from the State Water Resources Control Board's website at:

http://www.waterboards.ca.gov/water_issues/programs/conservation_portal/docs/2016feb/suppliercompliance_022516.pdf

(C)

** A district is determined to be in compliance if it has met or is within one percent of its Mandatory Reduction requirement.

(D)

(N)

[end]

(To be inserted by utility)
 Advice Letter No. 2211
 Decision No. -

Issued by
PAUL G. TOWNSLEY
NAME
 Vice President
TITLE

(To be inserted by Cal. P.U.C.)
 Date Filed _____
 Effective _____
 Resolution No. _____



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PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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GENERAL MANAGER
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Dominguez District

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PER SECTION III (G) OF GENERAL ORDER NO. 96-A

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Willows District

ADVICE LETTER FILING MAILING LIST
PER SECTION III (G) OF GENERAL ORDER NO. 96-A

City of Willows Civic Center
201 N Lassen St
Willows, CA 95988
staylor@cityofwillows.org